## DELAWARE DEPARTMENT OF JUSTICE JOB OPENING

Opening Date: August 20, 2015 Closing Date: Until Filled

## Registration Specialist (Pay Grade 12) Fraud & Consumer Protection Division, Investor Protection Unit New Castle County

## Job Responsibilities and Duties:

The Registration Specialist in the Fraud and Consumer Protection Division; Investor Protection Unit, in New Castle County is responsible for processing all applications for all individual registrations to do business in Delaware as a broker-dealer agent, investment advisor representative, or issuer agent. The Registration Specialist also reviews NASAA expungement requests, acts as the education and outreach coordinator for the Unit and conducts Investment Advisor examinations.

The Registration Specialist prepares and submits timely reports and is expected to maintain professional liaisons and strong lines of communication and coordination with State and Federal Securities Regulators and Securities Self-Regulatory Organizations.

## Minimum Qualifications:

A degree in Accounting or Business Administration is preferred or significant experience in investigation of financial fraud.

<u>Internal Applicants</u>: Please submit an updated Resume or a summary of work experience to the Director of Human Resources.

External Applicants: Please submit a State Application and a Resume to Human Resources, Delaware Department of Justice, 820 N. French Street, Sixth Floor, Wilmington, DE 19801 or E-mail to DOJHR@state.de.us or Fax to: 302-577-5866. EOE.